

Atty. Dkt. No. 065691-0222

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Pierre Chambon et al.

Entitled: TRANSGENIC MOUSE FOR TARGETED RECOMBINATION MEDIATED BY
MODIFIED CRE-ER

Appl. No.: 09/853,033

Filing Date: May 11, 2001

Examiner: Not yet assigned

Art Unit: Not yet assigned

TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION

Commissioner for Patents
Washington, D.C. 20231

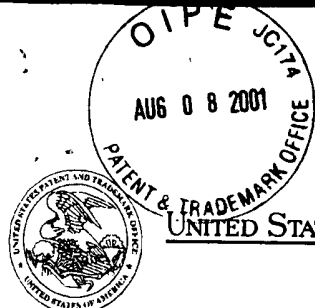
Attn: BOX MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed on June 7, 2001, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

Enclosed are:

- ☒ [X] Declaration and Power of Attorney
- ☒ [X] Copy of Notice to File Missing Parts (Part 2 of Form PTO-1533)
- ☒ [X] Statement to Support Filing and Submission in Accordance with 37 C.F.R. §§ 1.821-1.825 with sequence listing and disk
- ☒ [X] Preliminary Amendment
- ☒ [X] Small Entity Declaration
- ☒ [X] Associate Power of Attorney
- ☒ [X] Petition for Extension of Time for 1 month



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D. C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/853,033	05/11/2001	Pierre Chambon	065691/0222

CONFIRMATION NO. 5081

FORMALITIES LETTER



OC000000006161003

Stephen B. Maebius
FOLEY & LARDNER
Suite 500
3000 K Street, N.W.
Washington, DC 20007-5109

10/10/2001 NPKASASD 00000011 190741 09853033

01 FC:202 160.00 CH
02 FC:203 45.00 CH

Date Mailed: 06/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/10/2001 BNGUYEN1 00000033 09853033

01 FC:201 355.00 OP
02 FC:203 324.00 OP
03 FC:202 400.00 OP
04 FC:205 65.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

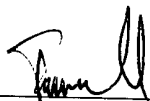
- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1448.
 - \$648 for 36 total claims over 20.
 - \$800 for 10 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2288.**
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in

computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE